

(A)	Pate	Approved for u	ise through XXXXXX, OMB 0651-0031				
0001/PTO U.S. Department of Cor Rev. 10/95 PADEMAN atent and Trademan	mmerce rk Office	Application Number	09/833,528				
DEMA		Filing Date	April 11, 2001				
TRANSMITTAL FORM		First Named Inventor	Jing W. Jiang et al.				
(to be used for all correspondence during pendency of filed application)		Group Art Unit Number	2633 Unassigned PECEIVED 2001				
		Examiner Name	Unassigned Technology 2001				
Total Number of Pages in This Submission	66	Attorney Docket Number	21660-05933 Technology Center 2600				
ENCLOS	URES	(check all that apply	RECEIVED				
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Response to Notice to File Missing Parts		[] Sheet(s	of Figure(s) []				
Assignment & Recordation Cover Sheet		_ · ·	ication to Board of Appeals and				
Declaration		Interferences					
Power of Attorney		Appeal Commun	ication to Group. Brief, Reply Brief)				
Application Data Sheet Information Disclosure Statement & PTO-1	1440						
Copies of IDS Cited References	1449	Certified Copy of Priority Document(s) After Allowance Communication to Group					
Request for Corrected Filing Receipt		After Allowance Communication to Group					
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Amendment/Response: [] Page(s)							
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Signature:							
Attorney/Reg. No.: Glen Choi, Reg. No. 43,5	546		Dated: August 21, 2001				
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I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Non-Fee Amendment, Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.							
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IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

Jing W. Jiang et al.

APPLICATION NO.:

09/833,528

FILING DATE:

April 11, 2001

Technology Center 2600

TITLE:

ADAPTIVE BIT RATE TRANSPONDER

RECEIVED

EXAMINER:

Unassigned

GROUP ART UNIT:

2633 .

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INFORMATION DISCLOSURE STATEMENT Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. A copy is enclosed herewith of each listed reference which may be material to the examination of this application, and with respect to which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

	applic refere	cation Se	erial No.	s, under 35 U.S.C. § 120, on the earlier filing date of prior [SERIAL NUMBER], filed on [FILING DATE], and the in are hereby referenced, but are not required to be provided der 37 CFR § 1.98(d).
The In	ıformat	ion Disc	losure S	Statement submitted herewith is being filed:
		into the	nationa	onths of the filing date of the application, or date of entry al stage of an international application, or before the mailing fficial action on the merits, whichever event last occurred;
		before t		ing of a first official action after the filing of a request for nination (RCE) under 37 CFR § 1.114;
		after the	ree mon	of the filing date of this national application or the date national stage in an international application, or after the
		occurre	d, but b	The first official action on the merits, whichever event last efore the mailing date of the first to occur of either:
	٠	(1) (2)	a notic	action under 37 CFR §1.113; OR see of allowance under 37 CFR §1.311; AND sed hereto is the fee of \$180, as set forth under 37 CFR
		_	§1.17(p), for submission of this Information Disclosure Statement 37 CFR.§ 1.97(c); OR
			Applic	ant certifies pursuant to 37 CFR § 1.97(e) that:
				each item of information contained in this Information Disclosure Statement was cited in a communication from a
				foreign patent office in a counterpart foreign application normore than three months prior to the filing of this Statement; OR
				no item of information contained in this Information Disclosure Statement was cited in a communication from a
				foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any
				individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement.
		OR		
			the pay of eithe	ment of the issue fee but after the mailing date of the first to

[1]	a final action under 37 CFR § 1.113; OR
[[2]	a notice of allowance under 37 CFR § 1.311; AND
		in accordance with the requirements of 37 CFR § 1.97(d):
[Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
		each item of information contained in this Information
		Disclosure Statement was cited in a communication from a
		foreign patent office in a counterpart foreign application not
,		more than three months prior to the filing of this Statement;
		OR
		no item of information contained in this Information
		Disclosure Statement was cited in a communication from a
-		foreign patent office in a counterpart foreign application or,
		to the knowledge of the person signing this certification
		after making reasonable inquiry, was known to any
49		individual designated under 37 CFR § 1.56(c) more than
		three months prior to the filing of this Statement; AND
· .		Applicant hereby respectfully petitions for the consideration of
		the accompanying Information Disclosure Statement under 37 CFR
		§ 1.97(d)(2); AND
		Applicant submits the petition fee of \$180 as set forth in 37 CFR §
		1.17(p).
1		
	nt subr	mits that no fee is required for the consideration of the
accompanying Informat	tion D	isclosure Statement.
Consideration o	f the li	isted references and favorable action are solicited.
		Respectfully submitted,
		JING W. JIANG et al.
Dated: August 21, 20	01	By:
		Glen Choi, Reg. No. 43,546 Fenwick & West LLP
	_	Two Palo Alto Square
		Palo Alto, CA 94306
		Tel.: (415) 275-2301
		Fax.: (415) 281-1350

AUG 2 3 2001 Sheet 1 of 1 **FORM PTO-1449** U.S. DEPARTMENT OF COMMERCE Serial No. Attorney's Docket No. 21660-05933 09/833,528 PRADEMA (REV. 6-89) Patent and Trademark Office INFORMATION DISCLOSURE CITATION Applicant Jing W. Jiang et al. Group Art Unit Filing Date (Use several sheets if necessary) April 11, 2001 2633 **U.S. PATENT DOCUMENTS** Filing Date If Class Subclass Examiner Initial **Document Number** Name Appropriate Gary D. McCormack et al. 375 355 01/23/2001 **A1** 6,178,213 B1 359 152 Paul W. Casper et al. 06/13/2000 **A2** 6,075,634 SEP \$ 2 200 Technology Center 2600 FOREIGN PATENT DOCUMENTS Date Country Class **Subclass** Translation **Document Number** Yes No OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) International Telecommunication Union, "Architecture of Optical Transports," Series G: Transmission Systems and Media, Digital Systems and Network, ITU-T Recommendation G.872, 31 pages, February 1999. DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.

PTO-1449 REV: 02/01

Include copy of this form with next communication to applicant.